

PATENT APPLICATION NO. 09/646,235
ATTORNEY DOCKET NO. T6637 CIP PCT US

SUPPLEMENTAL DECLARATION AND PETITION

As a below named inventor, I hereby declare: that my residence, post office address, and citizenship are as stated below next to my name; that I verily believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled APPARATUS FOR CONVERTING OCEAN WAVE MOTION TO ELECTRICITY, the specification of which was filed on September 11, 2000 as United States Application No. 09/646,235; that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above; and that I acknowledge the duty to disclose information which is material to patentability as defined in §1.56(a) of Title 37 of the Code of Federal Regulations.

I hereby claim the benefit under Section 120 of Title 35 of the United States Code of the earlier filed PCT application number PCT/US99/05710 filed on March 15, 1999 with priority date of March 13, 1998; and, insofar as the subject matter of each of the claims of these applications is not disclosed in the earlier filed pending applications in the manner provided by the first paragraph of Section 112 of Title 35 of the United States code, we acknowledge the duty to disclose material information, as defined in Section 1.56(a) of Title 37 of the Code of Federal Regulations, which occurred between the filing date of the earlier filed applications and the filing date of this application.

I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful, false statements and the like so made are punishable by

fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the application or any patent issuing thereon.

Wherefore, I pray that Letters Patent be granted to me for the invention or discovery described and claimed in the foregoing specification and claims, declaration, and this petition.

Signed at Sandy, Utah, this 12th day of February 2001.

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| STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(b))—INDEPENDENT INVENTOR | Docket Number (Optional) T6637.CIP.PCT US |
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Applicant, Patentee, or Identifier: Vaughn W. North
Application or Patent No.: _____
Filed or Issued: September 11, 2000
Title: APPARATUS FOR CONVERTING OCEAN WAVE MOTION TO ELECTRICITY

As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☒ the specification filed herewith with title as listed above.
- ☐ the application identified above.
- ☐ the patent identified above.

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ No such person, concern, or organization exists.
- ☐ Each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

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|---|--------------------------------|--------------------------------|
| <u>Vaughn W. North</u> NAME OF INVENTOR | _____ NAME OF INVENTOR | _____ NAME OF INVENTOR |
| <u>Vaughn W. North</u> Signature of inventor | _____ Signature of inventor | _____ Signature of inventor |
| <u>Sep 11, 2000</u> Date | _____ Date | _____ Date |